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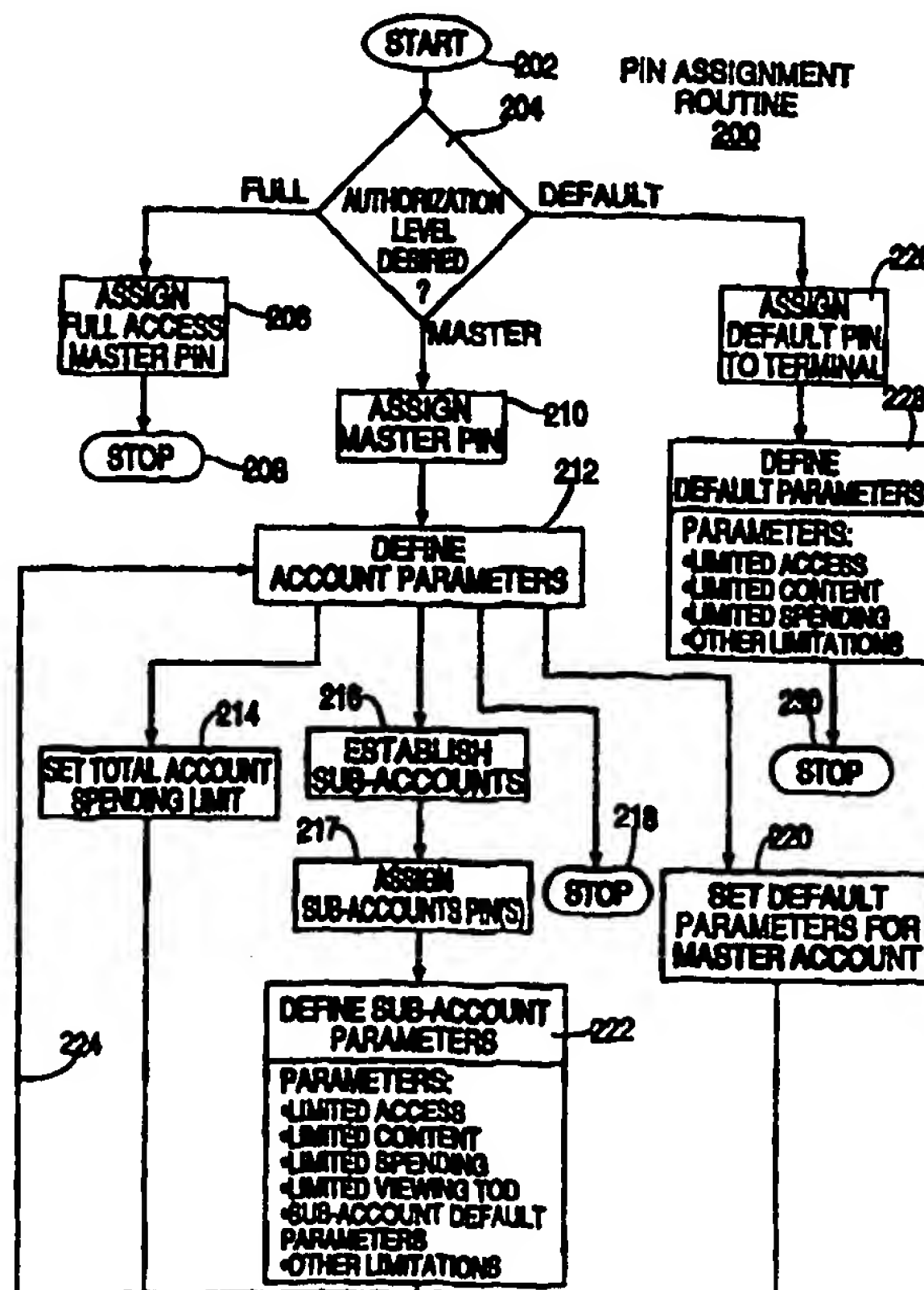
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(54) Title: **METHOD AND APPARATUS FOR MANAGING PERSONAL IDENTIFICATION NUMBERS IN AN INTERACTIVE INFORMATION DISTRIBUTION SYSTEM**

(57) Abstract

A method and apparatus for managing the personal identification numbers of customers as well as customer authorization access to an interactive information distribution system (100). The apparatus comprises an interactive session manager (104) containing a central processing unit (114) that is programmed to implement the method of the present invention. The method of the present invention is a personal identification number (PIN) assignment routine (400) for assigning various types of PINs to various customers of the information distribution system. The method also includes an access authorization routine (300) for restricting access to only those customers with authorized access to particular services.



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**METHOD AND APPARATUS FOR MANAGING PERSONAL  
IDENTIFICATION NUMBERS IN AN INTERACTIVE  
INFORMATION DISTRIBUTION SYSTEM**

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**BACKGROUND OF THE INVENTION**

1. Field of the Invention

The present invention relates to an interactive information distribution  
10 system such as a video-on-demand (VOD) system. More particularly, the  
invention relates to a method and apparatus for managing personal  
identification numbers within such an interactive information distribution  
system.

15 2. Description of the Background Art

Recent advances in digital signal processing techniques and, in  
particular, improvements in digital compression techniques, have led to a  
plethora of proposals for providing new digital services to a customer's home  
via existing telephone and coaxial cable networks. For example, it has been  
20 proposed to provide hundreds of cable television channels to subscribers by  
compressing digital video, transmitting the compressed digital video over  
conventional coaxial cable television channels, and then decompressing the  
video of the subscriber's set-top terminal. Another proposed application for  
this technology is a video-on-demand system in which a subscriber  
25 communicates directly with a video service provider via telephone lines to  
request a video program from a video library, and the requested video  
program is routed to the subscriber's home via telephone lines or via coaxial  
cable television cables for immediate viewing. Other proposed video-on-  
demand systems use a frequency multiplexing technique to enable  
30 transmission of control information from the customer's location through the  
cable network back to the information server.

For any of these interactive information distribution systems, an  
access authorization technique is necessary to provide system security.  
Such security must restrict use of the system only to authorized subscribers,  
35 insure that unauthorized purchases of information are not made on a  
customer's account, provide content restrictions to insure that certain

members of a household (e.g., children) are restricted from viewing certain types of information, and provide customer budget controls. Present systems merely request a customer to provide a personal identification number prior to accessing the service. As such, all customers are generally burdened with  
5 the requirement to enter a password every time the service is accessed. Furthermore, such password based systems do not differentiate between household members. Thus, the password permits access to all system services and information.

Therefore, there is a need in the art for a method and apparatus of  
10 managing personal identification numbers and customer authorization within an interactive information distribution system to provide flexible and useful security measures.

### SUMMARY OF THE INVENTION

15 The disadvantages heretofore associated with the prior art are overcome by the present invention of a method and apparatus for managing the personal identification numbers of customers as well as customer access to an interactive information distribution system. The apparatus comprises an interactive session manager containing a central processing unit that is  
20 programmed to implement the method of the present invention. The method of the present invention is a personal identification number (PIN) assignment routine for assigning various types of PINs to various customers of the information distribution system. The method also includes an access authorization routine for restricting access to only those customers with  
25 authorized access to particular services provided by the system.

More specifically, the PIN assignment routine allows each customer to set up either a full access PIN, a master PIN, or a default PIN. The full access PIN allows access to all services provided by the system without the need to enter the PIN upon each access to the system. The default PIN is a  
30 PIN that is assigned to a household with predefined default parameters permitting limited access to particular services provided by the distribution system as well as limited content access and limited spending. The default parameters can be defined upon assigning the PIN to the household. The master PIN is assigned to a household such that the holder of the master PIN

may assign subaccount PINs to various members of the household. Each of these subaccount PINs can be assigned certain parameters that limit the types of services that the holders of those PINs are permitted to access. Each subaccount can also be restricted as to spending amounts, viewable  
5 content, viewing time of day, and the like.

Upon a customer requesting access to the information distribution system, the access authorization routine is executed upon the interactive session manager. This routine identifies the terminal which is requesting access and from the terminal identification performs a look-up of the access  
10 authorization level that is assigned to that terminal. That access authorization level may be full access, default access or master access. If the terminal is assigned a full access authorization level, then no further authorization is necessary, and all services provided by the information distribution system are available to that household. If the default level is set  
15 for that terminal, then access is granted to the default services, i.e., those services that were defined as the default services using the default parameters during the PIN assignment routine. If, during a session that is using the default services, a customer requests a non-default service, the routine will request a PIN for those non-default services, and if a valid PIN is  
20 entered, then access to those services shall be permitted. If a valid PIN is not entered, then access is denied, and the customer continues to be provided the default services only. If the authorization level is a master authorization level, the routine checks to see if this master authorization level has a default set of parameters such that there are default services that are accessed  
25 immediately after the terminal is identified, i.e., without further authorization. If default access is not available, the customer must enter a PIN for all services, or if services outside of the default services are requested, then a PIN must be entered for those non-default services. Once a PIN is entered and it is found to be valid, access is provided to a pre-defined level of service  
30 defined by the PIN that has been entered. Further requests for services outside of the pre-defined level of services will require a different valid PIN to be entered. As such, children can be restricted to certain content levels such as restrictions based upon the MPAA rating for certain types of movies, restrictions as to adult content, restrictions to viewing at only certain times

of day, and the like. In addition, each household member can be given a PIN that has restricted spending such that each household member can only purchase a certain number of movies per month.

A second embodiment of the invention is a simplified version of the foregoing embodiment. The second embodiment contains a PIN assignment routine that assigns to each terminal either restricted or unrestricted access. If access to the services is unrestricted, then no PIN is assigned. If access is restricted, then a selected level of service is chosen and a PIN assigned for that level. If sub-account PINs are desired, other levels of service and PINs can be assigned to the terminal. The level of service is based upon the MPAA rating system; however, other basis for service restriction are available. Thus, a PIN is allocated a certain MPAA rating level and that customer using PIN is permitted access to information at that level or less. The simplified PIN assignment routine is generally executed by the service provider when the service is first ordered.

The second embodiment of the invention also contains a simplified access authorization routine. This routine identifies the terminal and whether the terminal has unrestricted or restricted access. If unrestricted, then all services are accessible without the use of a PIN. If restricted, the routine requests a PIN. The access level for that PIN is looked up and access permitted. The user only receives previews and information at or below its access level, e.g., an R level can view R, PG-13, PG, and G rated information. The user is never prompted for a PIN to access higher level material because that material is not presented for selection.

25

#### BRIEF DESCRIPTION OF THE DRAWINGS

The teachings of the present invention can be readily understood by considering the following detailed description in conjunction with the accompanying drawings, in which:

30 FIG. 1 depicts a high-level block diagram of an interactive information distribution system containing the present invention;

FIG. 2 depicts a flowchart of the PIN assignment routine;

FIG. 3 depicts a flowchart of the access authorization routine;

FIG. 4 depicts a flow chart of a simplified PIN assignment routine; and



FIG. 5 depicts a flowchart of a simplified access authorization routine.

To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures.

5

### DETAILED DESCRIPTION

FIG. 1 depicts a high-level block diagram of an interactive information distribution system 100 that incorporates the present invention. The system 100 contains an information server 102, a network interface and interactive  
10 session manager 104, a communications network 106, a set-top terminal 108, a display device 110, and an input device 112 for inputting functions into the set-top terminal 108. The information server 102 provides data streams in response to requests for information from the network interface 104.

These data streams are modulated onto a carrier that is compatible with the  
15 transmission requirements of the network 106. Network interface 104 handles all the transmission interface requirements of the system 100. The network can be one of a number of conventional communications networks that are available such as fiber optic networks, telephone networks, existing cable television networks, and the like. The data is generally transmitted in  
20 asynchronous, packetized format such as defined in the asynchronous transfer mode (ATM) protocol or the Moving Pictures Experts Group (MPEG).

The set-top terminal 108 receives the data streams from the network, demodulates those streams and processes the information carried by the streams for display on the display device 110. In addition, the set-top  
25 terminal 108 accepts commands from the input device 112, e.g., a remote control or other similar input device. These commands are formatted, compressed and transmitted through the network to the network interface which interprets the commands sent from the set-top terminal and instructs the information server to perform the command, e.g., transmits selected  
30 information or a new selection menu.

More particularly, the information server 102 contains at least one central processing unit (CPU) 120 and may contain many processing units arranged as a parallel processing computer. Additionally, the server generally contains a program memory 122 that retains the programs which control the

server and are executed when the function requests are sent from the set-top terminals. In addition, the server is connected to a data memory 124. The data memory 124 contains the various video data and other information that is available to the subscribers via the system 100.

5       The interactive session manager and network interface 104 also contains a central processing unit (CPU) 114. The manager generally contains a program memory 116 which stores the computer programs that are executed by the session manager to control an interactive session. In addition, the CPU is coupled to various support circuits 118 such as power  
10       supplies, memory, clock circuits, and the like. In the present invention, the program memory of the session manager stores the PIN assignment routine and the access authorization routine of the present invention. These routines are discussed below with respect to FIGS. 2, 3, 4 and 5.

          Additionally, the set-top terminal 108 contains a transceiver (not  
15       specifically shown) for sending and receiving information to/from the network. The transceiver downconverts and demodulates the information from the network which is generally transmitted in a standard packetized transmission format. The video data (if any) within the transmission is generally encoded using either of the available Moving Pictures Expert's  
20       Group standards, e.g., MPEG-1 or MPEG-2. The decompressed information is then prepared for display upon the display unit 110. Such bi-directional set-top terminals that send digital information in response to user commands are well known in the art.

          In operation, a customer, through the input device and interactively  
25       selecting functions from a graphical display menu, may initiate an interactive information session wherein the customer requests certain information to be displayed on the display device 110. Typically, in a video-on-demand system, the information to be displayed would be a movie. Upon requesting a session, the set-top terminal sends through the network a command requesting that a  
30       session be initiated. The initialization command carries a unique terminal identification (TID) code. For system security purposes, this code is randomly and automatically changed by the system, e.g., the system periodically downloads encrypted TID codes to the set-top terminals via the network. Once a valid TID code is received, the session manager opens a session



account for the particular terminal. The session manager then sends a request to the server for the information that was requested by the set-top terminal. The server recalls the requested information from the data memory and passes that information to the network interface which, in turn, passes it to the network, and, ultimately, to the particular set-top terminal that requested the information. To insure security, the session manager, when it receives a session initiation request, performs a look-up function to validate the TID within a look-up table and also executes the access authorization routine of the present invention. Prior to or at the time of the first session being requested, the customer, through his/her set-top terminal, must execute the PIN assignment routine such that a personal identification number is assigned to at least one person in the household.

FIG. 2 depicts a flowchart of the PIN assignment routine 200. The routine begins at step 202 and continues to step 204. At step 204, the routine requests what authorization level is desired for the household. The menu-driven choices are full-access authorization (FULL), a master access authorization (MASTER), or a default authorization (DEFAULT). If full access authorization is requested, the PIN assignment routine assigns, at step 206, a full-access master PIN to that terminal and associates that PIN with the TID code. Typically, this information (e.g., TID and PIN) is stored in a look-up table. As the TID codes are changed, the look-up table must also be updated. Once the PIN is stored, the routine 200 is stopped at step 208.

If the default level of authorization is selected at step 204, the routine proceeds from step 204 to step 226. At step 226, the routine assigns a PIN to the terminal. This PIN allows access to a subset of the services provided by the information distribution system. At step 228, the services that are accessible using the default PIN are defined as default parameters. These default parameters may include content restrictions that do not allow access to movies exceeding a certain MPAA rating or restrict access to movies in a certain genre, e.g., adult movies. Additionally, access may be limited to only children's programs, viewing at only a specific time of day, and to a limited amount that can be spent on services over some arbitrary period of time, e.g., day, month, and the like. Once the default parameters are set in step 228,

the routine stops at step 230. Typically, the default parameters are pre-defined by the service provider.

If, at step 204, the master authorization level is selected, the routine proceeds to step 210. At step 210, the routine assigns a master PIN to the terminal. At step 212, the holder of the master PIN is permitted to define certain account management parameters. These parameters are generally menu-driven such that each parameter is selected from a menu and then the fields defining that parameter are filled in by the master PIN holder. Of course, this process can be accomplished by the service provider as well. Illustratively, the four parameters are spending limits for the entire account (step 214), establishing subaccounts (step 216), setting default parameters for entry into the system (step 220), and a stop command (step 218).

The account management parameters allow, at step 214, the establishment of spending limits for the account such that all the users of that terminal cumulatively cannot exceed a certain spending limit per, for example, month. Once the spending limit is set, the routine returns along path 224 to the account management parameter definition step 212. The spending limit per account may have a preset maximum that is set by the service provider. The customer could then establish a value less than the preset maximum.

The parameters may also be used to establish subaccounts at step 216. At step 217, each of the subaccounts is assigned its own unique PIN such that each customer within a household can be assigned a PIN having their own limits on what services they can access within the information distribution system. At step 222, restrictive parameters are associated with each sub-account PIN. These subaccount parameters may include spending limits, content limits, viewing time of day limits, as well as certain default parameters that allow viewing of certain default services without entering a PIN. Additionally, at step 220, the account management parameters enable the master PIN holder to set a default path through which the household may have access to default limited services. To access further services (i.e., non-default services), a subaccount PIN would be required.

Once all of the account management parameters are defined, the stop step is selected at step 218 such that the PIN assignment routine is ended.

At this point, a household has established its PIN for the various members of the household, as well as define default parameters for accessing particular services without entering a PIN.

FIG. 3 depicts a flowchart of the access authorization routine 300.

- 5 Routine 300 begins at step 302 and proceeds to step 304. At step 304, the routine identifies and validates the terminal by its TID code that is carried within the information supplied by the terminal when initiating a session. At step 306, the routine identifies the authorization level that is assigned to that particular terminal. Generally, such identification is accomplished through  
10 the TID-PIN look-up table. The three levels of authorization are full, default, and master.

- If the terminal has full authorization, then no further authorization is necessary and at step 308, the session manager allows the terminal and its customer to have full access to all services provided by the interactive  
15 information distribution system. Typically, such a full access authorization would be used by households that have no children or households that do not want to have restrictions on access to the system. With full access, the randomly generated and updated TID code provides sufficient security for the system, and a PIN being entered by the customer is not necessary.

- 20 If the authorization level is the default authorization level, the routine proceeds from step 306 to step 310 where access is granted to all of the default services that are allocated to that terminal without the necessity of entering a PIN. The default services are usually predefined by the service provider. However, the system is flexible enough to permit the customer to  
25 define the default services, as described below. If a customer requests another service, the routine queries whether the request is for a non-default service (unauthorized service) at step 312. If the requested service is a default service, then the routine proceeds along path 314 allowing access, at step 316, to that default service, e.g., the predefined level of service.
- 30 However, if the request was for a non-default service, then the routine requests a PIN for access to that service at step 326. At step 328, the routine queries whether a PIN has been entered. If not, the routine proceeds along path 334 and, at step 332, denies access to the new service. However, if a PIN has been entered, the routine proceeds to step 330 where the entered

PIN is checked against a list of valid PINs. If the PIN is not valid, the routine denies access, at step 332, to the service and returns to the default services. If, however, the PIN is valid, the routine proceeds to step 316. At step 316, access to the requested service is permitted. If another service is requested, 5 the routine queries at step 312 whether the requested service is an authorized service within either the default services or the previously-entered PIN. If the query is answered negatively, the routine returns to step 316 permitting access to the newly-requested service. However, if the requested service is unauthorized for either the default services or the previously-entered PIN, the 10 routine proceeds to step 326 and again requests a PIN to permit access to those presently unauthorized services.

If, at step 306, the authorization level is the master authorization level, the routine proceeds to step 320. At step 320, the routine checks to see if there are default services parameters established for this particular 15 terminal. If so, the routine proceeds to step 310 where access is granted to those default services. From then on, the system operates as if there are default services only, and for each requested new service that is outside of the default set, a PIN is requested.

If, at step 320, there are no default parameters established, the 20 routine proceeds to step 322, where the routine queries whether this is the first use of the information distribution system under this master PIN. Typically, upon installation of a set-top terminal, a new account is automatically assigned a "null" master account number which causes the system, upon first access, to default to the master authorization level 25 carrying the null PIN, and as such, the query at step 322 is affirmatively answered, and at step 324, the session manager executes the PIN assignment routine 200 as described above. Consequently, the customer is assigned a new PIN to replace the null PIN, the default parameters are established, subaccounts are defined and so on.

30 Once the PIN has been assigned or if this is not a first use and the master does not carry the null PIN, the routine proceeds to step 326 wherein the routine requests a PIN number for access to services of the information distribution system. At step 328, the routine queries whether a PIN has been entered. At step 328, the routine queries whether a PIN has been entered. If

a PIN is not entered, the routine denies access at step 332. Typically, there is a wait loop that awaits entering of a PIN for a certain amount of time before access is denied at step 332. If the PIN is entered and the query at step 328 is answered affirmatively, the routine proceeds on to step 330. At  
5 step 330, the routine queries whether the PIN is valid. If the PIN is not valid, at step 332, access is denied to the requested services. If the query, at step 342, is affirmatively answered the routine proceeds to step 316 where access to the predefined level of services for that particular PIN is permitted.

If during the session providing the predefined level of services, the  
10 customer requests other services the routine proceeds to the query at step 312 wherein the routine queries whether the new services requested are unauthorized services, e.g., not within the predefined level of services of step 316. If the services are authorized, the routine proceeds along the NO path 314 to step 316 and allows access to the services. If the services are  
15 unauthorized for the presently entered PIN, the routine proceeds along the YES path to step 326 where a valid PIN is requested for access to the unauthorized services.

FIG. 4 depicts a second embodiment of the PIN assignment routine 200 of FIG. 2; namely, a simplified PIN assignment routine 400. This routine  
20 is designed to restrict the options for PIN assignment and selected access levels. Thus, the routine begins at step 402 and proceeds to step 404. At step 404, the routine queries as to the authorization type desired. The type may be either unrestricted or restricted authorization. If the authorization type is unrestricted, the routine proceeds to step 406. At step 406, the  
25 routine assigns a full access indicator to the particular terminal associated with this authorization. At step 420, the routine stops. Under unrestricted access, system security relies upon the randomly assigned and intermittently updated TID codes.

If restricted service is requested, the routine requires an access level  
30 for the service to be selected at step 408 from a menu or list of available access levels. At step 410, the routine assigns a PIN to the particular terminal, and that PIN is associated with the access level defined in step 408. For the simplified version of the PIN assignment routine, the access levels are generally based on the MPAA rating system. As such, the access levels



can be selected from the following: Adult (All Services), NC-17, R, PG-13, PG, and G. Of course, services could be allocated to access levels in many other ways. At step 412, the routine queries whether additional PINs are to be assigned to the terminal. If the query is affirmatively answered, the routine  
5 proceeds to step 416 wherein another access level is selected. At step 418, a subaccount PIN is assigned and associated with the selected access level. The routine then returns to the query at step 412. As such, the loop including steps 412, 416 and 418 may be repeated until all PINs for all household members are assigned. When no more PINs are to be assigned at step 412,  
10 the routine ends at step 414. Typically, the simplified PIN assignment routine 400 is executed by the service provider at the time the service is first ordered to assign various PINs to the head of the household, and the head of household can distribute the subaccount PIN numbers to other family members.

15 FIG. 5 depicts a second embodiment of the access authorization routine 300 of FIG 3; namely, a simplified access authorization routine 500. This routine is simplified, in that, it provides access to unrestricted users and restricted users that have a PIN. The PIN must be valid and if so, it provide access to a particular level of service. A particular level of service cannot be  
20 exceeded without a different PIN, and once a particular level of service has been accessed, there is no opportunity to select a higher level of service without starting a new session for the service, e.g., menus for selecting services or information in inaccessible levels are not displayed to the customer. Specifically, the routine 500 begins at step 502 and proceeds to  
25 step 504. At step 504, the routine reads the set-top terminal identification code, and at step 506, uses that identification code to access a look-up table to determine if that terminal has unrestricted or restricted access. At step 508, if the look-up table returned an unrestricted access designator, the routine proceeds to step 510. At step 510, the routine allows full access to all  
30 services. The routine ends at step 512. If, however, the service is restricted, the routine proceeds from step 508 to step 514. At step 514, the routine requests entry of a PIN. At step 515, the routine queries whether the PIN is invalid or a time-out has occurred, i.e., a PIN has not been entered within a predefined period of time. If the query at step 515 is affirmatively answered,



the routine stops at step 517. If the query at step 515 is negatively answered, the routine proceeds to step 516, wherein a look-up table is accessed to match the PIN number to the access level. At step 518, the routine permits access to the system as defined by the access level  
5 associated with the PIN. The level of access is restricted to the highest access level associated with that PIN, and all lower level accesses, e.g., if an R access level is available, then access is permitted to all information having R, PG-13, PG and G ratings. In addition, under the simplified access authorization process, the viewer is only permitted access to menus and  
10 previews that fit within the access level provided for that PIN. Consequently, browsing through titles, previews and menus of higher-level accesses is not permitted. The routine stops at step 520.

Using the method and apparatus of the present invention a flexible customer authorization and access management system is available for use  
15 with an information distribution system. Under such a system the customer as well as the system is secure from unauthorized use and billing as well as allowing the customer to establish limitations for household members and to avoid unnecessary entry of identification numbers at all times.

Although various embodiments which incorporate the teachings of the  
20 present invention have been shown and described in detail herein, those skilled in the art can readily devise many other varied embodiments that still incorporate these teachings.

What is claimed is:

1. A method (400) of assigning personal identification numbers to set top terminals (108) within an interactive information distribution system (100),  
5 said method comprising the steps of:  
    selecting (404) an authorization type for providing either restricted or unrestricted access to said interactive information distribution system;  
    if said selected authorization type is unrestricted, assigning (406) an unrestricted indicator to said set top terminal; and  
10     if said selected authorization type is restricted, assigning (410) a restricted personal identification number (PIN) to said set top terminal and defining parameters (408) that provide defined access to said interactive information distribution system.
- 15 2. The method of claim 1 further comprising the step of:  
    if said selected authorization type is restricted, defining sub-account parameters (418) that provide defined access to said interactive information distribution system for a sub-account PIN.
- 20 3. The method of claim 2 wherein said parameters and said sub-account parameters are program rating codes.
4. A method (500) of accessing an information distribution system (100) containing an set top terminal (108) through which access is requested, the  
25 method comprising the steps of:  
    identifying (504) the set top terminal that requests the information via a unique terminal identification (TID) code;  
    identifying (506) an authorization type that is associated with the unique TID code;  
30     if said authorization type is unrestricted, permitting (510) unlimited access to the interactive information distribution system; and  
    if said authorization type is restricted, requesting (514) a personal identification number (PIN), validating the PIN, and permitting (518) access to services defined (516) for the valid PIN.

5. A method (200) of assigning personal identification numbers to set top terminals (108) within an interactive information distribution system (100), said method comprising the steps of:

5        selecting (204) an authorization level for providing either full access, default access or master access to said interactive information distribution system;

          if said selected authorization level is full access, assigning (206) a full access personal identification number (PIN) to said set top terminal;

10        if said selected authorization level is default access, assigning (226) a default PIN to said set top terminal and defining (228) default parameters that provide limited access to said interactive information distribution system; and

          if said selected authorization level is master access, assigning (210) a  
15 master PIN to said set top terminal and defining (212) sub-account parameters that provide defined access to said interactive information distribution system for a sub-account PIN.

6. The method of claim 5 wherein said default parameters restrict access  
20 based upon program content, spending, and time of day.

7. The method of claim 5 wherein said sub-account parameters restrict access based upon a sub-account PIN, program content, spending, and time of day.

25

8. A method (300) of accessing an information distribution system (100) containing an set top terminal (108) through which access is requested, the method comprising the steps of:

          identifying (304) the set top terminal that requests the information via  
30 a unique terminal identification (TID) code;

          identifying (306) an authorization level that is associated with the unique TID code;

          if said authorization level is full access, permitting (308) unlimited access to the interactive information distribution system;

if said authorization level is default access, permitting (310) access to a default set of services provided by said interactive information distribution system; and

if said authorization level is master access, requesting (326) a PIN,  
5 validating (330) the PIN, and permitting (316) access to services defined for the valid PIN.

9. Apparatus (400) for assigning personal identification numbers to set top terminals within an interactive information distribution system (100), said  
10 apparatus comprising:

means for selecting (404) an authorization type for providing either restricted or unrestricted access to said interactive information distribution system;

means (406), coupled to said means for selecting, for assigning an  
15 unrestricted indicator to said set top terminal if said selected authorization type is unrestricted; and

means (410), coupled to said means for selecting, for assigning a restricted personal identification number (PIN) to said set top terminal if said selected authorization type is restricted, and for defining parameters that  
20 provide defined access to said interactive information distribution system.

10. The apparatus of claim 9 further comprising:

means (418) for defining sub-account parameters that provide defined access to said interactive information distribution system for a sub-account  
25 PIN if said selected authorization type is restricted.

11. The apparatus of claim 10 wherein said parameters and said sub-account parameters are program rating codes.

30 12. Apparatus (500) for accessing an information distribution system (100) containing an set top terminal (108) through which access is requested, the apparatus comprising:

terminal identification means (504) for identifying the set top terminal that requests the information via a unique terminal identification (TID) code;

authorization identification means (506), coupled to said terminal identification means, for identifying an authorization type that is associated with the unique TID code;

means (510), coupled to said authorization identification means, for  
5 permitting unlimited access to the interactive information distribution system if said authorization type is unrestricted; and

means (514, 518), coupled to said authorization identification means, for requesting a personal identification number (PIN) if said authorization type is restricted, for validating the PIN, and for permitting access to services  
10 defined for the valid PIN.

13. Apparatus (200) for assigning personal identification numbers to set top terminals (108) within an interactive information distribution system (100), said apparatus comprising:

15 means (204) for selecting an authorization level for providing either full access, default access or master access to said interactive information distribution system;

means (206), coupled to said means for selecting, for assigning a full access personal identification number (PIN) to said set top terminal if said  
20 selected authorization level is full access;

means (226, 228), coupled to said means for selecting, for assigning a default PIN to said set top terminal and defining default parameters that provide limited access to said interactive information distribution system if said selected authorization level is default access; and

25 means (210, 212), coupled to said means for selecting, for assigning a master PIN to said set top terminal and defining sub-account parameters that provide defined access to said interactive information distribution system for a sub-account PIN if said selected authorization level is master access.

30

14. The apparatus of claim 13 wherein said default parameters restrict access based upon program content, spending, and time of day.

15. The apparatus of claim 13 wherein said sub-account parameters restrict access based upon a sub-account PIN, program content, spending, and time of day.

- 5 16. Apparatus (300) for accessing an information distribution system containing an set top terminal through which access is requested, the apparatus comprising:

terminal identification means (304) for identifying the set top terminal that requests the information via a unique terminal identification (TID) code;

- 10 authorization means (306), coupled to said terminal identification means , for identifying an authorization level that is associated with the unique TID code;

- means (308), coupled to said authorization means, for permitting unlimited access to the interactive information distribution system if said  
15 authorization level is full access;

means (310), coupled to said authorization means, for permitting access to a default set of services provided by said interactive information distribution system if said authorization level is default access; and

- 20 means (326, 330, 316), coupled to said authorization means, for requesting a PIN if said authorization level is master access, for validating the PIN, and for permitting access to services defined for the valid PIN.



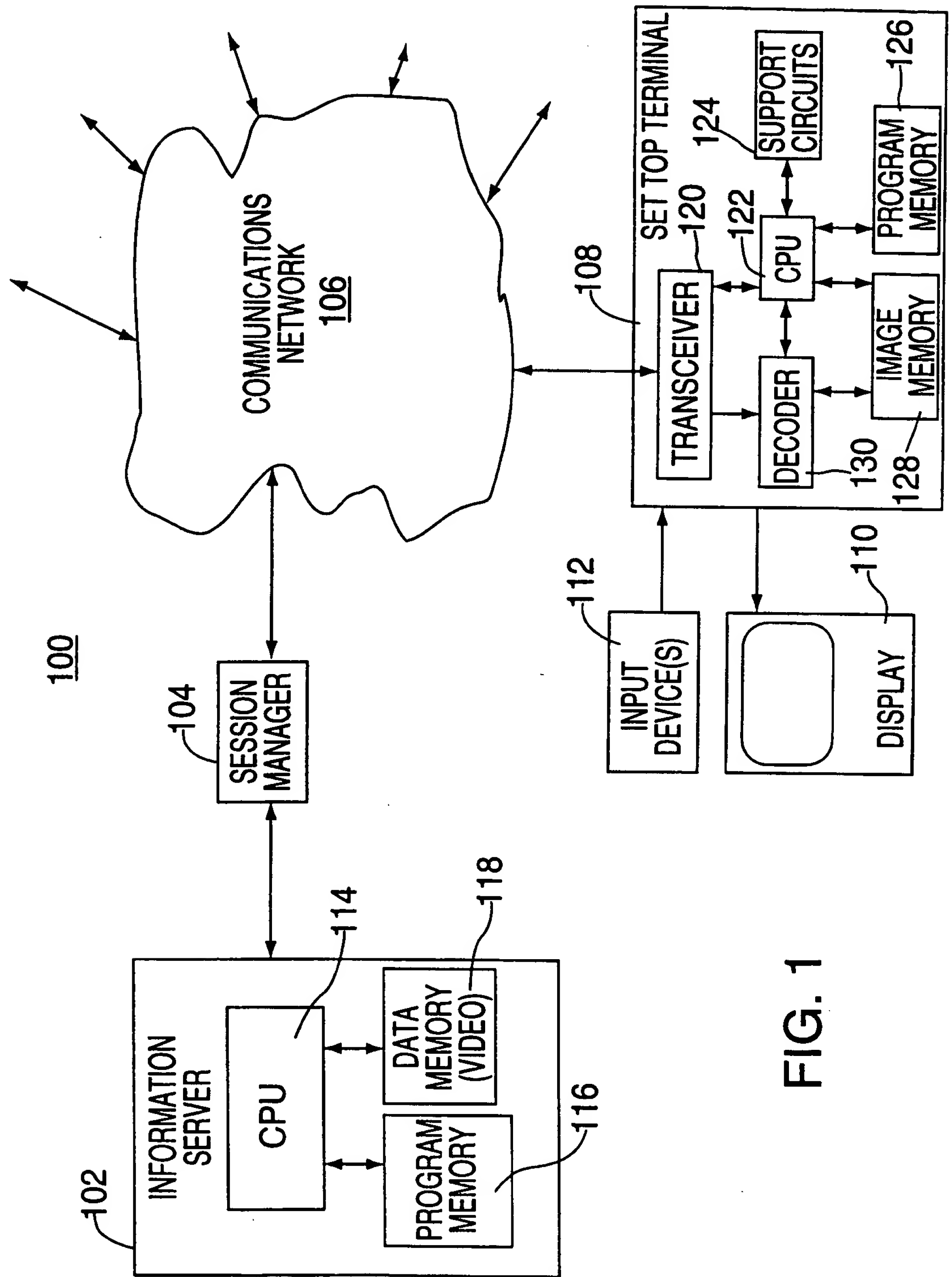
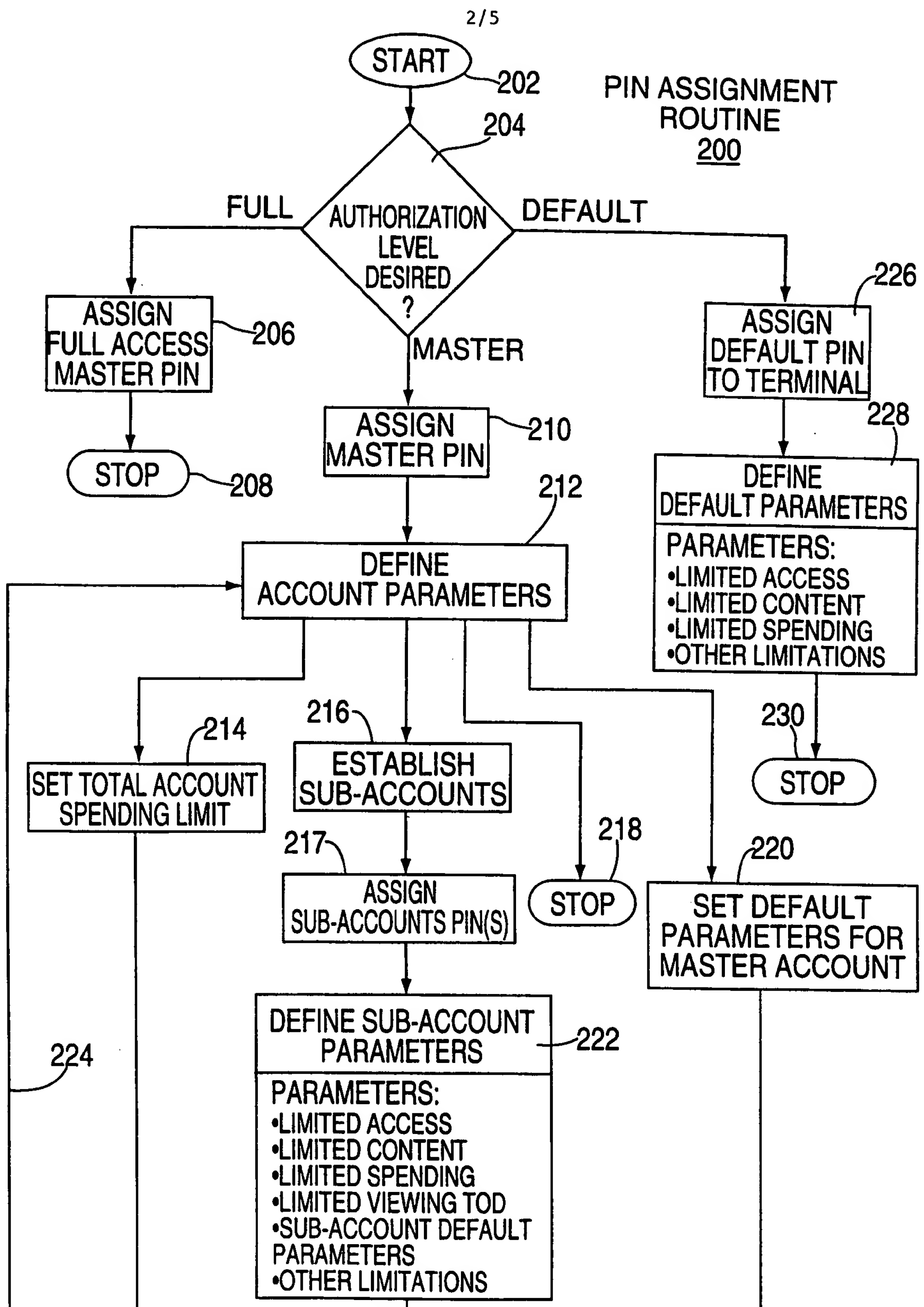


FIG. 1



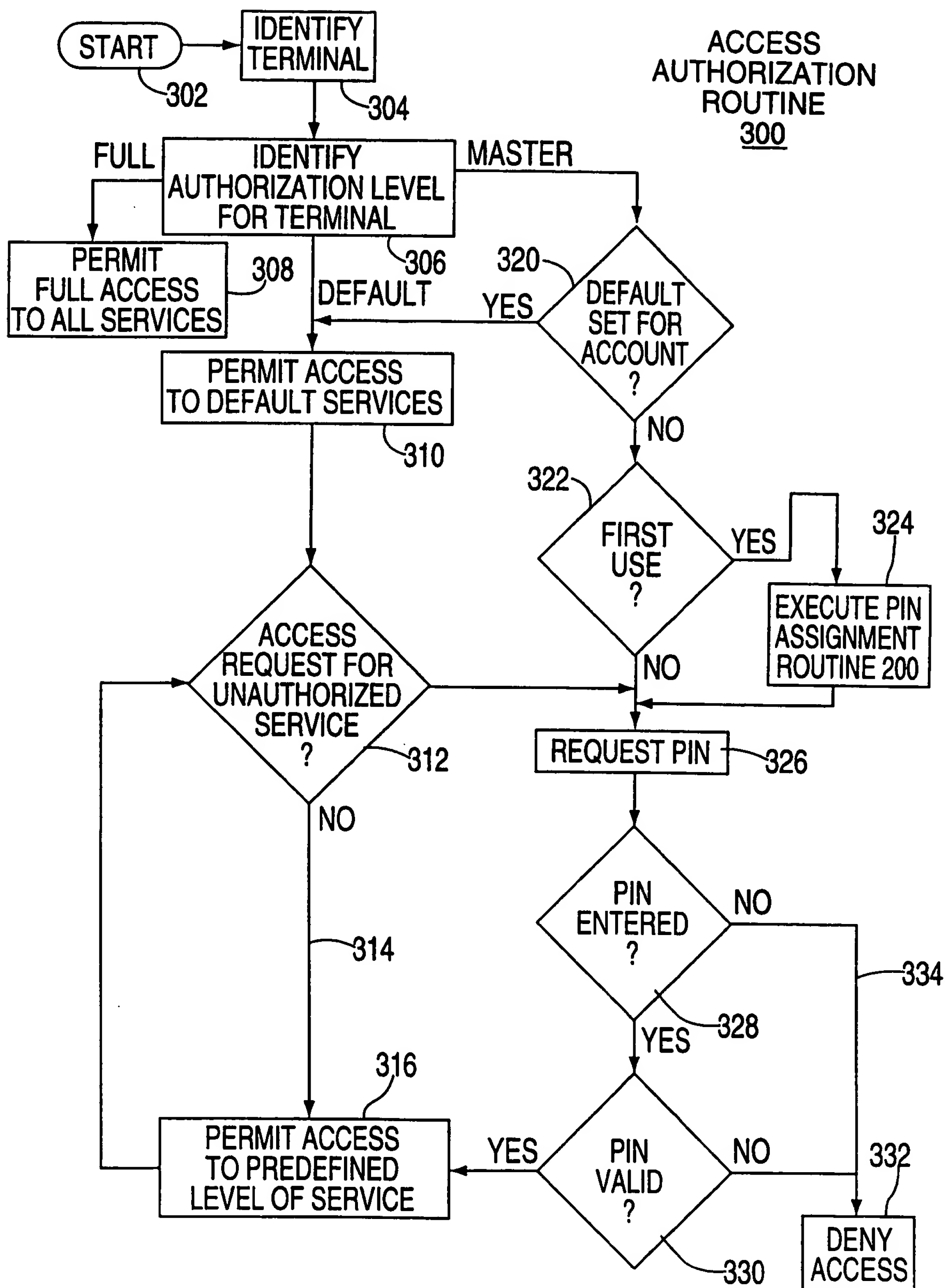


FIG. 3

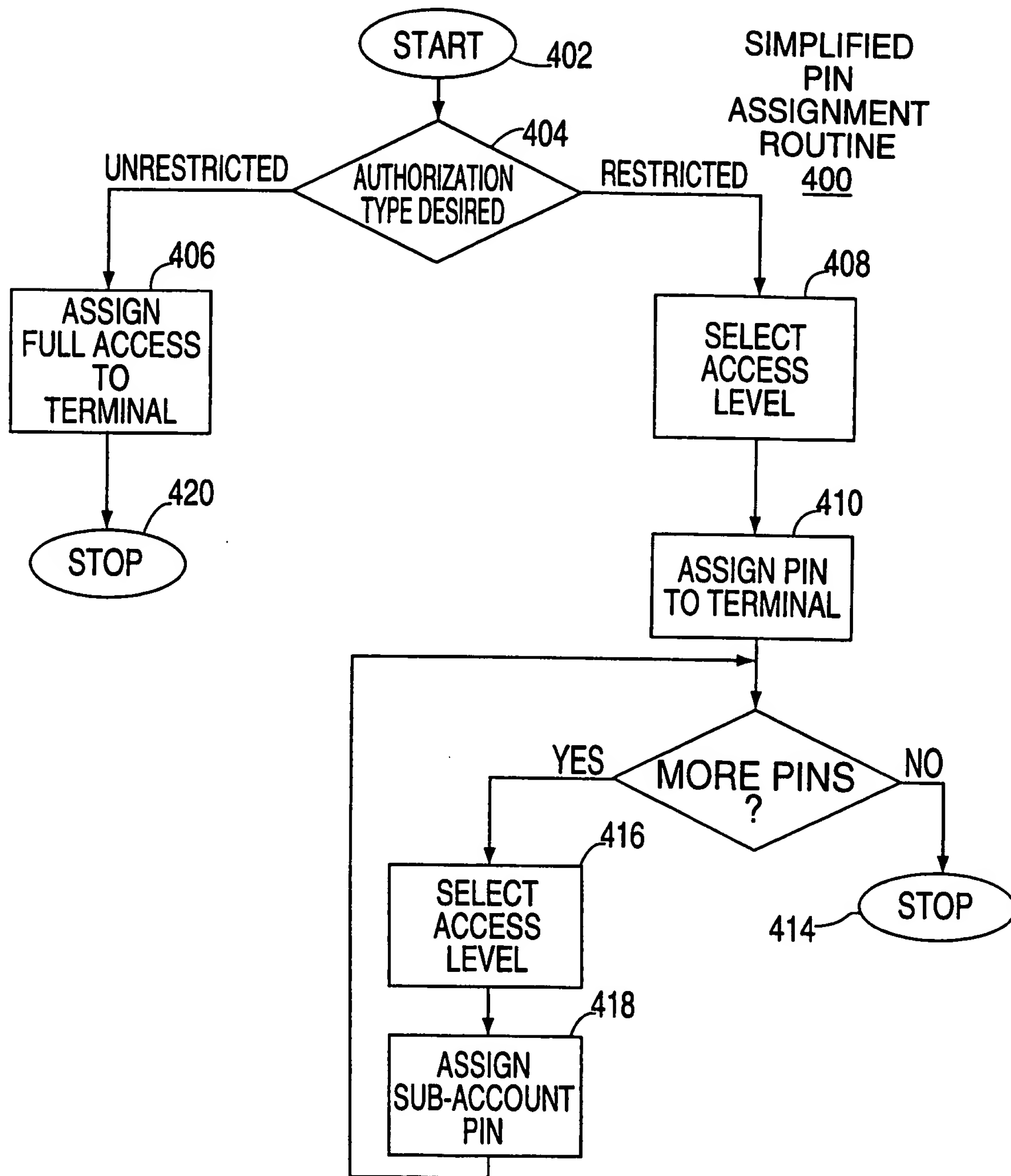


FIG. 4

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/19319**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : H04N 7/167; G06K 5/00; G06F 3/00, 13/00

US CL : 348/5.5, 380/20

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 340/825.31,34,30,541;235/382,382,382.5;348/5.5; 380/20

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS. PERSONAL IDENTIFICATION NUMBER (P) ACCESS AND CHILDREN AND TELEVISION

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	US 5,550,575 A (WEST et al) 27 August 1996, abstract and col. 14, lines 38-48; col. 10, lines 37-61; col. 7, lines 29-40; col. 12, lines 27-34 & col. 5, lines 1-19.	1-3,9-11 ———— 4-8,12-16
Y	US 4,578,567 A (GRANZOW et al) 25 March 1986, abstract & Fig. 2.	4,8,12
Y,P	US 5,579,489 A (DORNIER et al) 26 November 1996, col. 11, lines 40-44, & col. 16, lines 59-62.	5-7,13-16



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

29 DECEMBER 1997

Date of mailing of the international search report

25 FEB 1998

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